

Clearance Reference
Number:



University of Cape Town



Centre for Higher Education Development
Ethical Clearance for
Research Involving Human Participants

Section A – Proposal & Researcher details

1. Title of the proposal: <hr/> <hr/> <hr/>		
2. Has this protocol been submitted to any other Ethical Review Committee (REC)?	Yes	No
2.1 If so, list which, (Please include reference numbers)		
2.2 What was/were the outcome/s of these applications? If the protocol was rejected, please explain		

3. Is this proposal being submitted for ethical clearance for research related to or expanding on research previously approved by the CHED REC?	Yes		No
3.1 If so, what was the previous REC reference number?			

4. Researcher Details

4.1 Principal Researcher:

Title	Initials & Last Name	Department and Institution	
Phone		Email	
Signature		Date	

4.2 UCT Principal Researcher (If different to 4.1 above)

Title	Initials & Last Name	Department and Institution	
Phone		Email	
Signature		Date	

4.3 Co-researchers:

Title	Initials & Last Name	Department and Institution	
Phone		Email	

Title	Initials & Last Name	Department and Institution
	Phone	Email

Title	Initials & Last Name	Department and Institution
	Phone	Email

Section B – Checklist

Detailed research proposal	1 electronic copy	
Covering letter and all other relevant correspondence	1 electronic copy	
Consent forms (include translations if indicated)	1 electronic copy	
Participant/s information sheet (if separate from consent form)	1 electronic copy	

Section C – Research information

Estimated number of participants:
Estimated duration of study:

Location of study:

Section D – Financial and Contractual Information

Is the study being sponsored or funded?	Yes	No
<p><i>If yes</i></p> <p>Who is the sponsor/funder of the study?</p> <hr/> <hr/>		
Are there any restrictions or conditions attached to publication and/or presentation of the study results?	Yes	No
Does the contract specifically recognise the independence of the researchers involved?	Yes	No
<p>(Note that any such restrictions or conditions contained in funding contracts must be made available to the Committee along with the proposal.)</p>		

Section E - Statement on Conflict of Interest

The researcher is expected to declare to the Committee the presence of any potential or existing conflict of interest that may potentially pose a threat to the scientific integrity and ethical conduct of any research in the Faculty. The committee will decide whether such conflicts are sufficient as to warrant consideration of their impact on the ethical conduct of the study.

Disclosure of conflict of interest does not imply that a study will be deemed unethical, as the mere existence of a conflict of interest does not mean that a study cannot be conducted ethically. However, failure to declare to the Committee a conflict of interest known to the researcher at the outset of the study will be deemed to be unethical conduct.

Researchers are therefore expected to sign *either* of the two declarations below.

- a) As the Principal Researcher in this study (name: _____), I hereby declare that I am **not aware** of any potential conflict of interest which may influence my ethical conduct of this study.

Signature: _____ Date: _____

OR

- b) As the Principal Researcher in this study (name: _____), I hereby declare that I am **aware** of potential conflicts of interest which should be considered by the Committee:

Signature: _____ Date: _____

Section F – Declaration by principal investigator

<p>I am/we are satisfied that the participants will have been sufficiently informed about:</p> <ul style="list-style-type: none"> - my/our role as researcher; - the project - being able to give informed consent to their participation - intended objectives and outcomes / goals of the project <p>Please give details about how informed consent will be ensured:</p>	Yes	No
<p>I am/we are satisfied that: the research methodology is ethically sound and that, where human participants are concerned, attention will have been paid to the ethical issues of privacy and dignity of those either directly or indirectly affected</p> <p>Please give details of how privacy and dignity will be ensured:</p>	Yes	No
<p>I am/we are satisfied that ethical issues and processes will have been addressed with regard to:</p> <ul style="list-style-type: none"> - data collection, storage, sharing and ownership of data - necessary safeguards for the protection of data and participants <p>Please give details of how data will be stored and protected:</p>	Yes	No

<p>I am/we are satisfied that: in any dissemination that arises from the research, - the guiding principle of anonymity will be adopted, or - participants will not be readily identifiable unless prior agreement has been reached, - permission will be obtained from those indirectly affected</p> <p>Please give details of how anonymity or confidentiality will be ensured:</p>	Yes	No
<p>Signature: _____ Date: _____</p>		